

Intersubband Devices Operating in the Reststrahlen Region

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Abstract

- **We investigate characteristics of intersubband optical devices in which waveguiding is provided by highly-reflective semiconductor in the restrahlen band.**
- **We identify spectral regions within the restrahlen band of a cladding layer that offers advantages over both traditional dielectric waveguide and metal clad waveguides.**

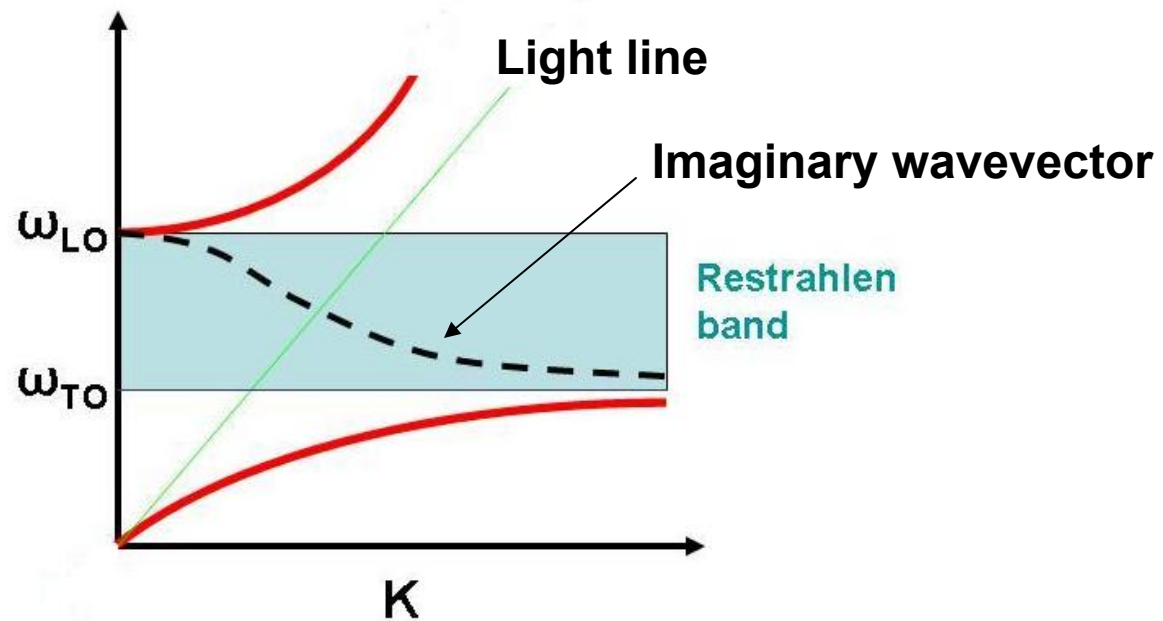
Far-IR Waveguide Challenges

- **QCLs require waveguides of high optical confinement with low loss**
- **Conventional dielectric waveguides are unsuitable due to low index contrast and difficulty in growing thick layers**
- **Plasmon waveguides are highly absorptive due to fast energy relaxation rates of electrons (~ 10 fs for Au)**

Waveguides Using Surface Phonon Polaritons

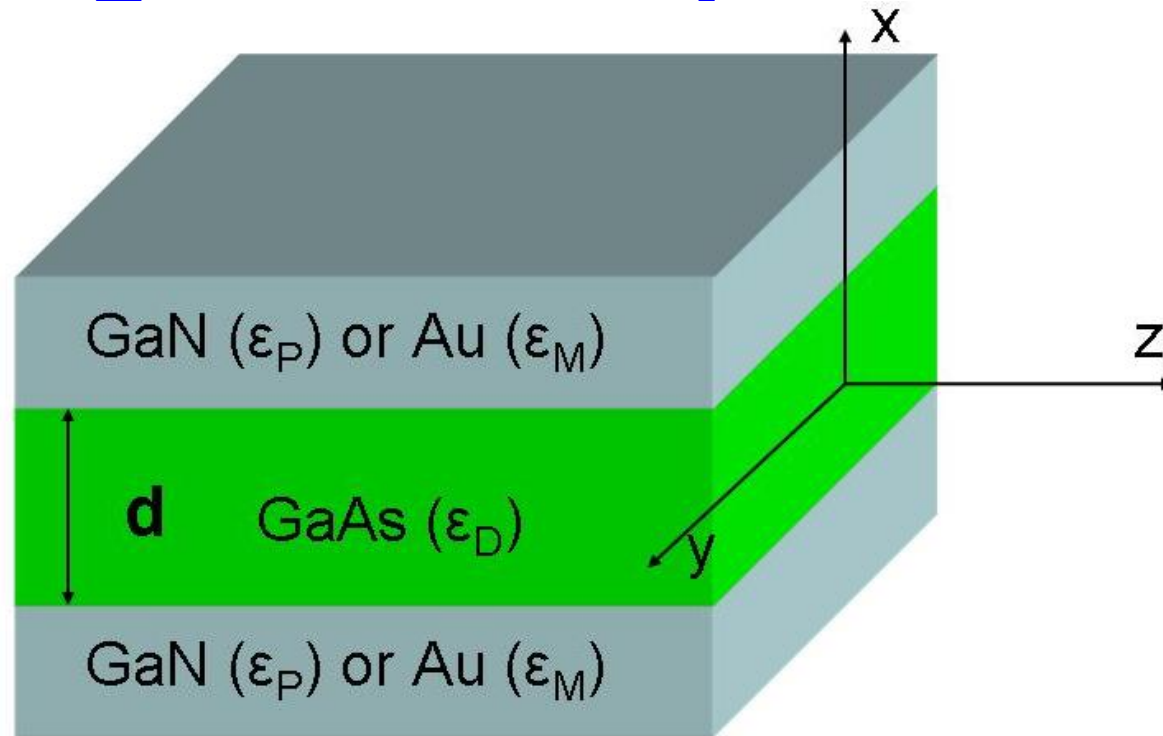
- Transitions associated with optical phonons with scattering times \sim ps are less prone to scattering
- Materials with negative dielectric constant in the far-IR Reststrahlen region behave just like a metal
- Waveguides formed with cladding material in Reststrahlen region, can support surface phonon polariton TM modes that are evanescent in both core and cladding
- Such waveguides offer desired confinement with less loss

Surface Phonon Polaritons



**Reststrahlen band between TO and LO phonons
with negative dielectric function**

Waveguide Comparison



Two GaAs-based QCLs operating in the Reststrahlen region of GaN are compared:

a) GaN as cladding (surface phonon polaritons)

b) Au as cladding (surface plasmon polaritons)

TM Modes

Both waveguides will support TM modes:

$$\mathbf{E} = \begin{cases} \frac{\cosh(kd/2)}{\varepsilon} E_o (j\beta\hat{\mathbf{x}} + q\hat{\mathbf{z}}) e^{-q(x-d/2)} e^{j(\beta z - \omega t)}, & x > d/2 \\ E_o [j\beta \cosh(kx)\hat{\mathbf{x}} - k \sinh(kx)\hat{\mathbf{z}}] e^{j(\beta z - \omega t)}, & |x| < d/2 \\ \frac{\cosh(kd/2)}{\varepsilon} E_o (j\beta\hat{\mathbf{x}} - q\hat{\mathbf{z}}) e^{q(x+d/2)} e^{j(\beta z - \omega t)}, & x < -d/2 \end{cases}$$

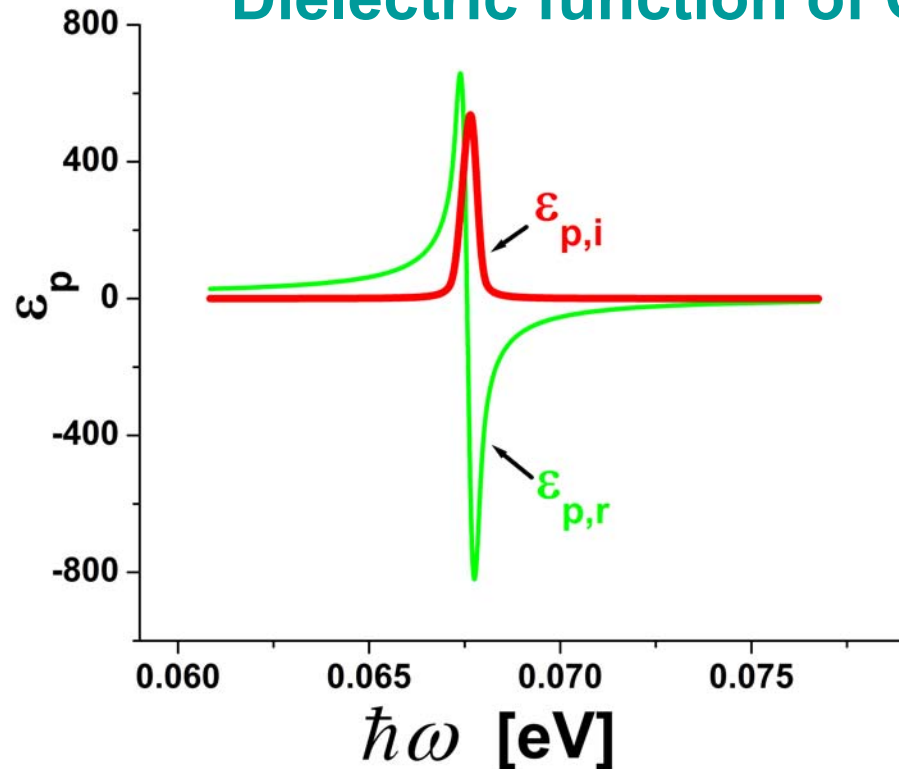
$$\beta = \beta' + j\beta'' \quad \text{Complex wave vector}$$

$$\varepsilon = \varepsilon_{P,M} / \varepsilon_D \quad \begin{array}{l} \text{Dielectric functions of GaN } (\varepsilon_p) \text{ and Au } (\varepsilon_M) \\ \text{Dielectric constant of GaAs } (\varepsilon_D) \end{array}$$

$$k^2 [\varepsilon^2 \tanh^2(kd/2) - 1] = 1 - \varepsilon \quad \text{Confined modes}$$

Dielectric Functions

Dielectric function of GaN in Reststrahlen band



$$\epsilon_p = \epsilon_\infty \left(1 + \frac{\omega_{LO}^2 - \omega_{TO}^2}{\omega_{TO}^2 - \omega^2 - j\omega\gamma_P} \right)$$

$$\hbar\omega_{TO} = 67.6 \text{ meV}$$

$$\hbar\omega_{LO} = 89.7 \text{ meV}$$

$$\gamma_P = 0.1 \text{ meV}$$

Dielectric function of Au

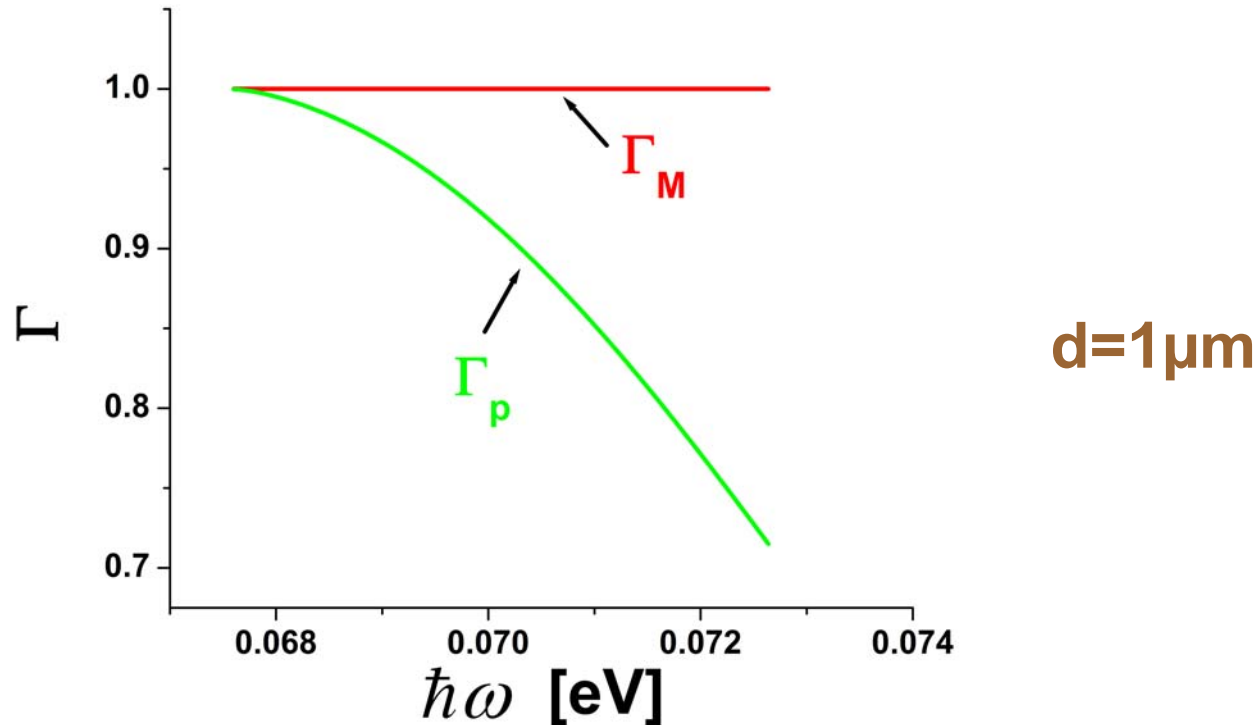
$$\epsilon_M = 1 - \frac{\omega_P^2}{\omega^2 + i\omega\gamma_M}$$

$$\hbar\omega_M = 8.11 \text{ eV} \quad \gamma_M = 65.8 \text{ meV}$$

$$\epsilon_{M,r} \approx -7000$$

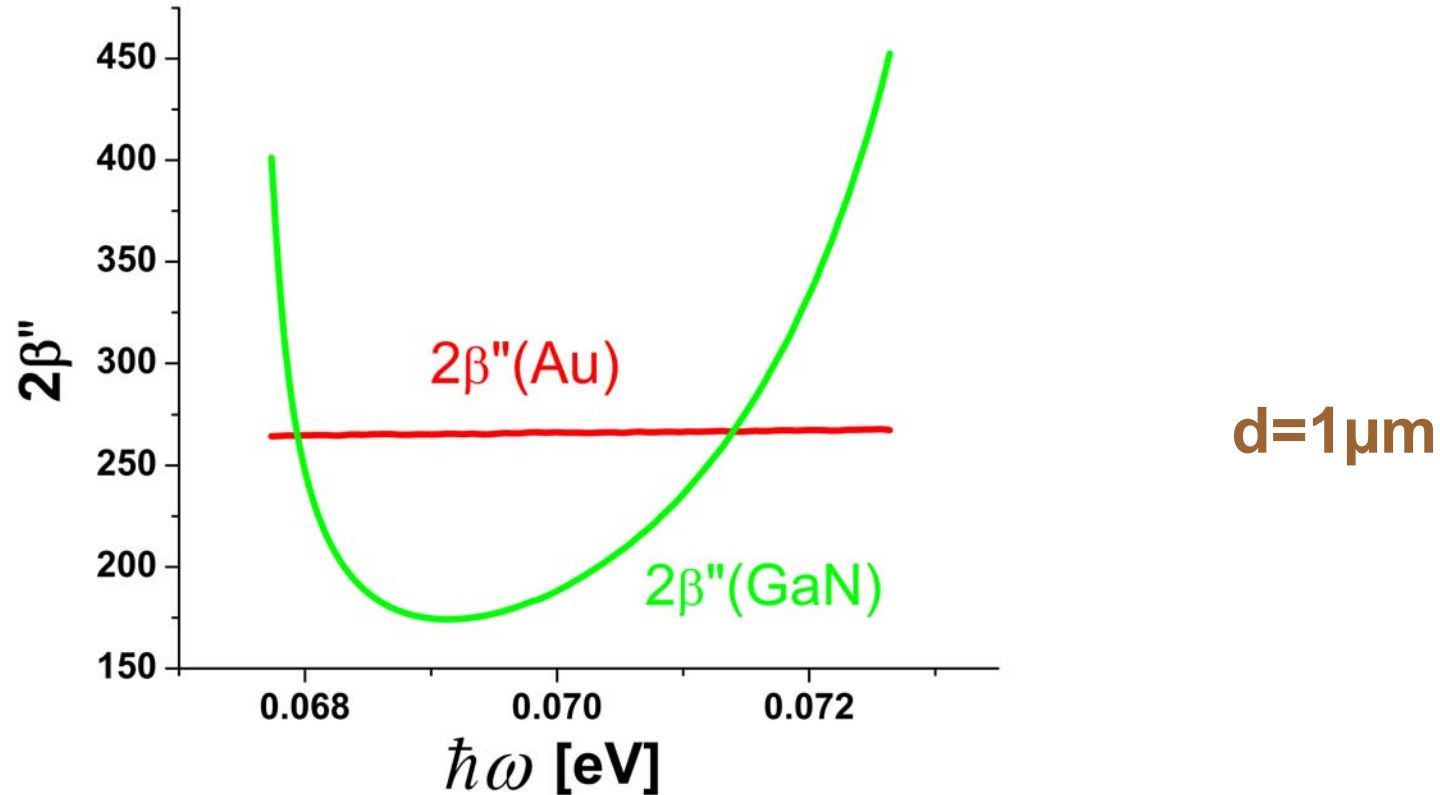
$$\epsilon_{M,i} \approx 6000$$

Optical Confinement



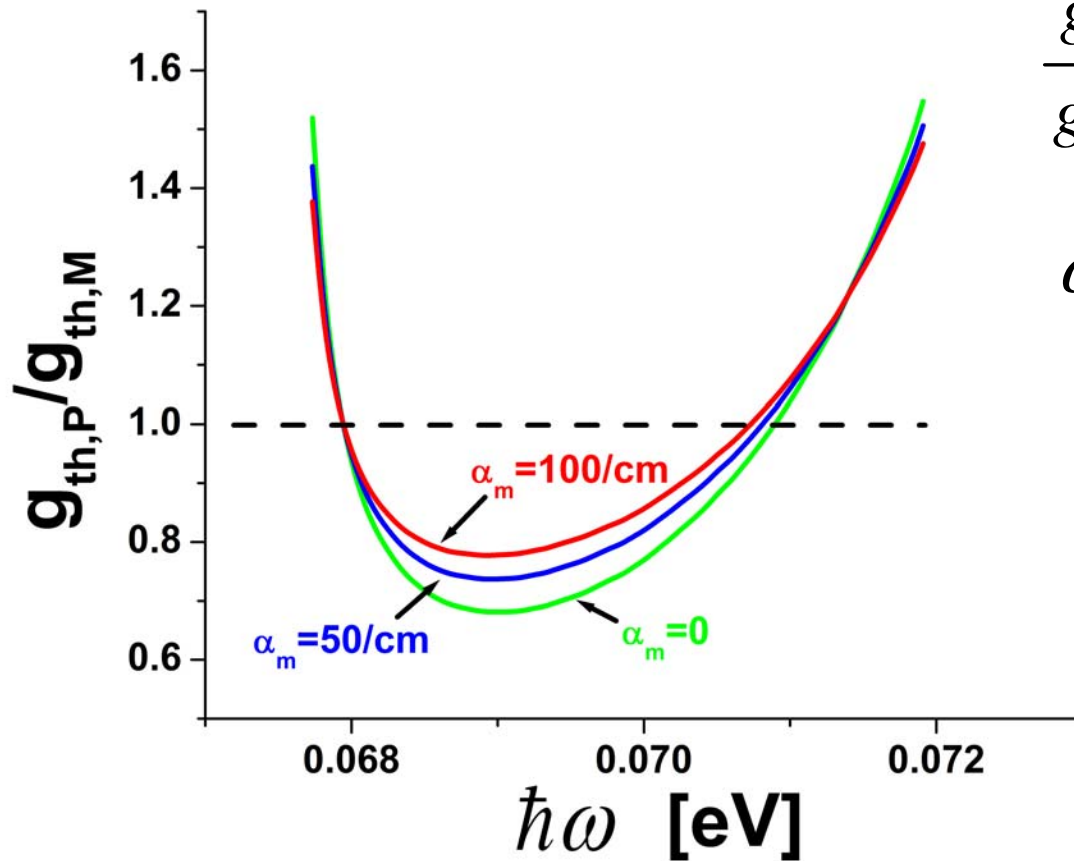
In general, plasmon waveguides provide better optical confinement

Waveguide Loss



Smaller waveguide loss in the narrow spectral range within the Reststrahlen region of the GaN cladding is the result of slower scattering time of the optical phonons

Threshold Gain



$$\frac{g_{th,P}}{g_{th,M}} = \frac{\Gamma_M}{\Gamma_P} \frac{2\beta_P'' + \alpha_m}{2\beta_M'' + \alpha_m}$$

α_m : mirror loss

The region where the ratio is less than 1 represents a reduction in threshold

Conclusion

There exists a narrow spectral range within the Reststrahlen region of the cladding material where a reduction in threshold can be obtained using surface phonon polariton waveguide.