

# Inter-Valley Charge Transfer in Short-Wavelength InGaAs-AlAs Quantum-Cascade Lasers

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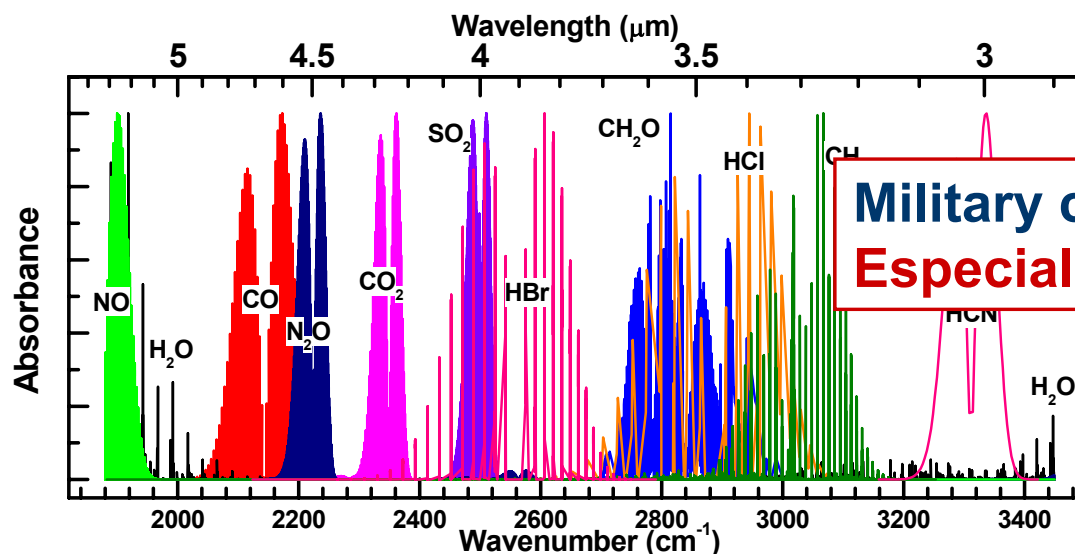
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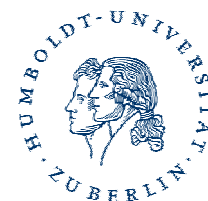
**“Short wavelength QCLs” emit in  
1<sup>st</sup> atmospheric window, 3–5  $\mu\text{m}$ .**

**Gas detection:  
Environmental  
Medical diagnoses**

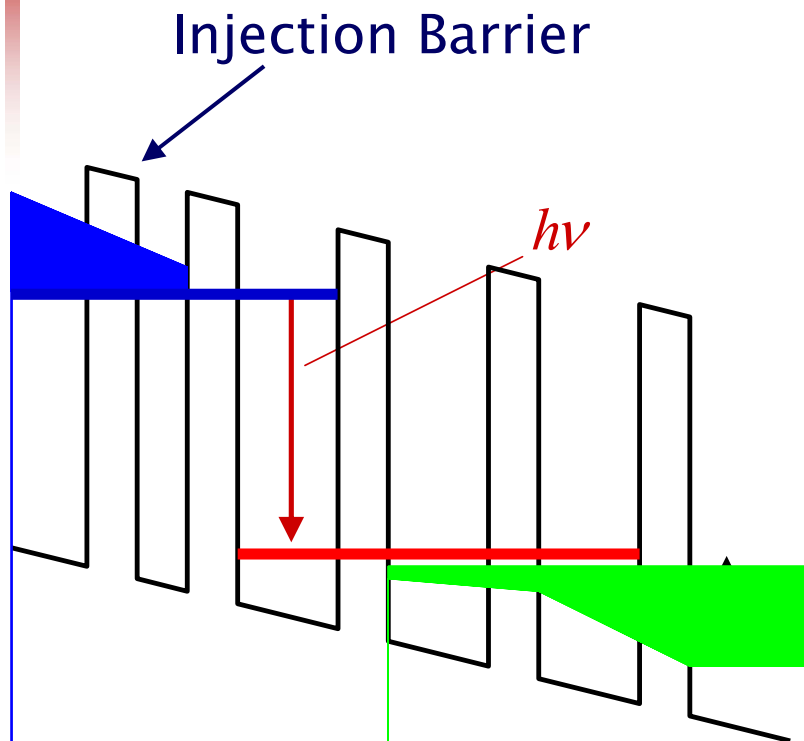
**Mid-IR imaging (medical)**



**Military countermeasures :  
Especially 3.8–4.2  $\mu\text{m}$**



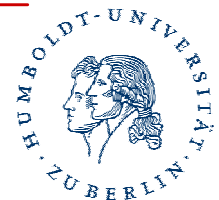
# Short wavelength emission requires a large $\Delta E_c$ .



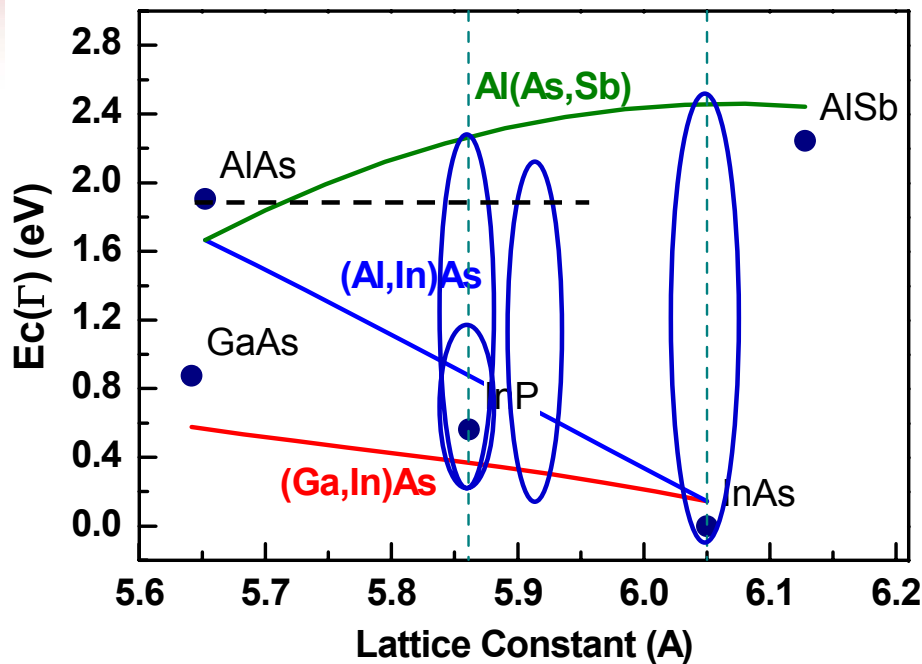
- Large  $\Delta E_c$  for large  $h\nu$
- High injection barrier to prevent thermal escape over top
- Minimize thermal backwash

For LIR QCLs,

$$h\nu \approx \frac{\Delta E_c}{2}$$



A large  $\Delta E_c$  can be achieved in several direct-band-gap III-V heterosystems.

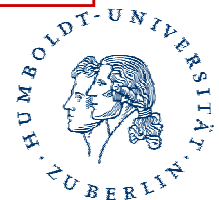


InAs-Al(As,Sb)  
 $\Delta E_c \approx 2.1$  eV

(Ga,In)As-Al(As,Sb)  
 $\Delta E_c \approx 1.6$  eV

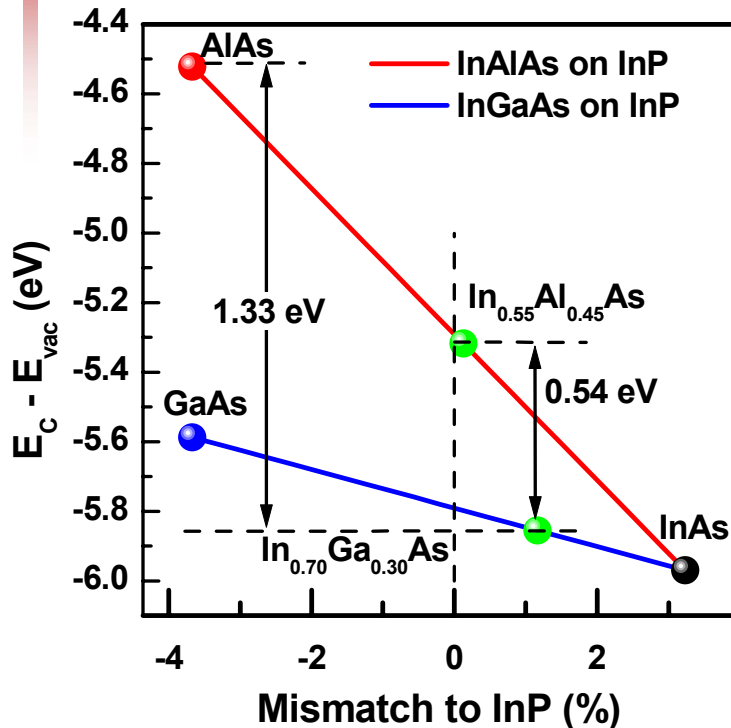
(Ga,In)As-(Al,In)As  
 $\Delta E_c \approx 0.53$  eV

(Ga,In)As-AlAs  
 $\Delta E_c \approx 1.3$  eV



# Composite Barriers based on AIAs $\Rightarrow$ independent control of energy and strain

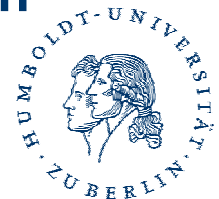
Replace the AIAs with  $\text{In}_{0.55}\text{Al}_{0.45}\text{As}$  + AIAs



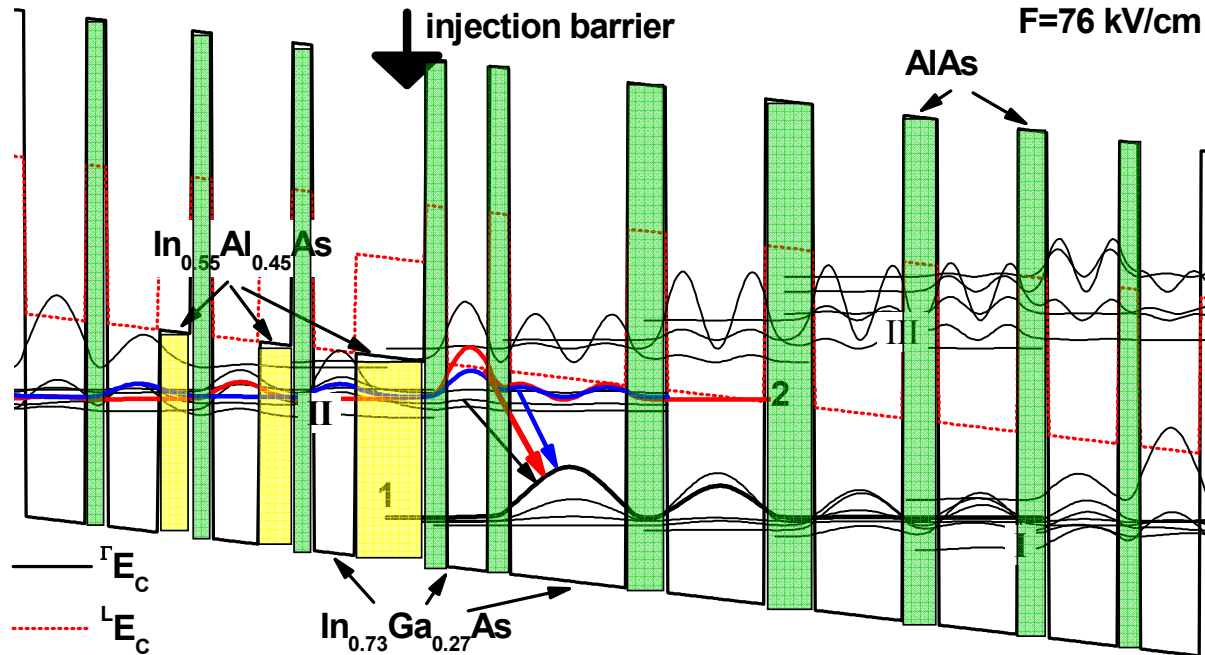
Addition of  $\text{In}_{0.55}\text{Al}_{0.45}\text{As}$

- shrinks miniband
- partially compensates for thicker AIAs
- independent control of confinement and strain

Can also use AIAs with addition of Al(As,Sb).



# Primary barrier material is AIAs

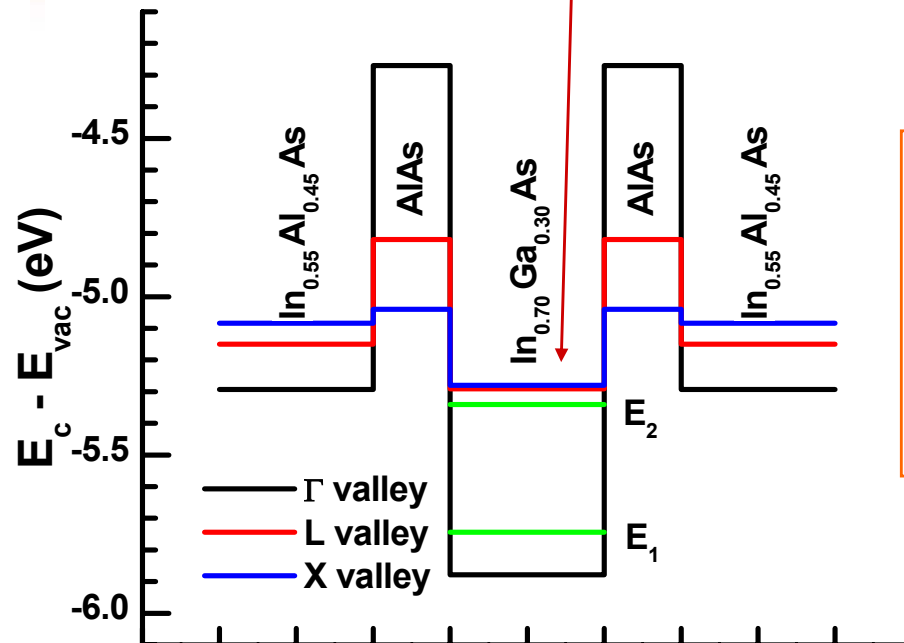


Modified "bound-to-bound" design

The emission wavelength is limited by the indirect valleys in the well, not by  $\Delta E_c$ .

$E_2$  is limited by the indirect valleys in the InGaAs wells.

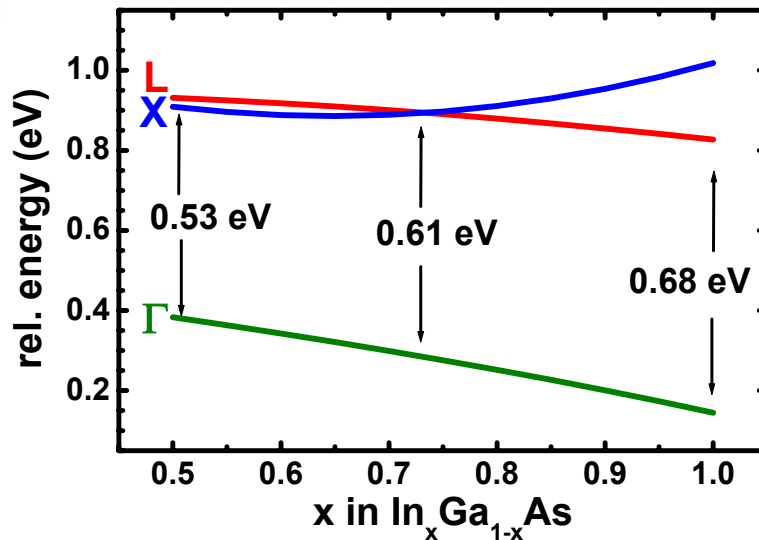
Max  $E_2 - E_1 \cong 0.37$  eV  
(3.3  $\mu\text{m}$ )



**Question:**  
How far above the indirect valleys can the upper laser state be located?

**Strategy #1:  $\text{In}_x\text{Ga}_{1-x}\text{As}$  with  $x \approx 0.72$**   
 (large indirect- $\Gamma$  energy separation with moderate strain)

The indirect- $\Gamma$  separation increases with In content.  
 The maximum transition energy increases correspondingly.

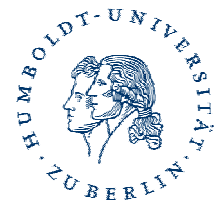


$$0.53\text{eV} \Rightarrow \lambda_{\min} \approx 3.8 \mu\text{m}$$

$$0.61\text{eV} \Rightarrow \lambda_{\min} \approx 3.3 \mu\text{m}$$

$$0.68\text{eV} \Rightarrow \lambda_{\min} \approx 2.9 \mu\text{m}$$

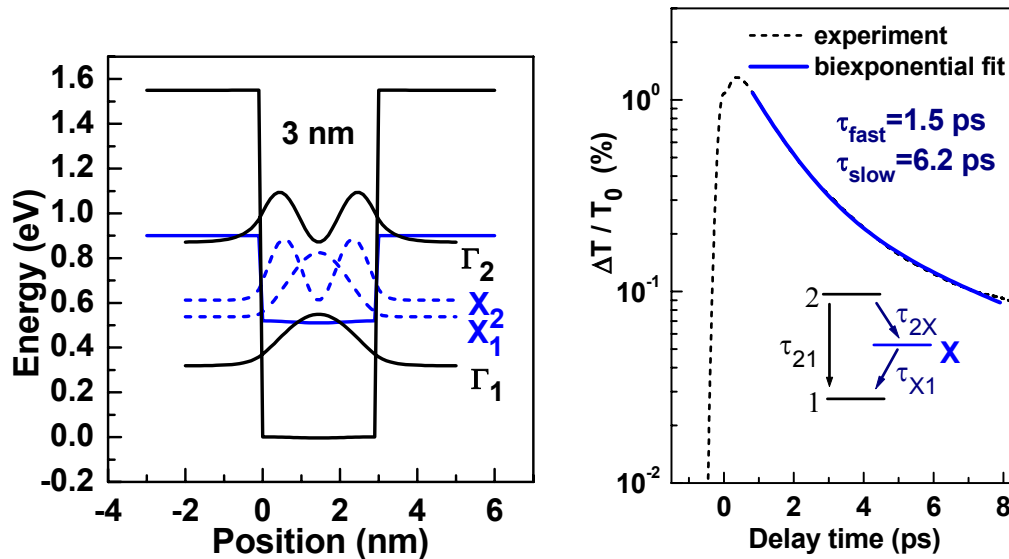
**For relaxed InAs,**  
 $\lambda_{\min} \approx 2.7 \mu\text{m}.$



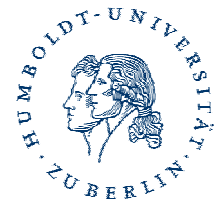


## Strategy #2: Rely on poor coupling between $\Gamma$ and X.

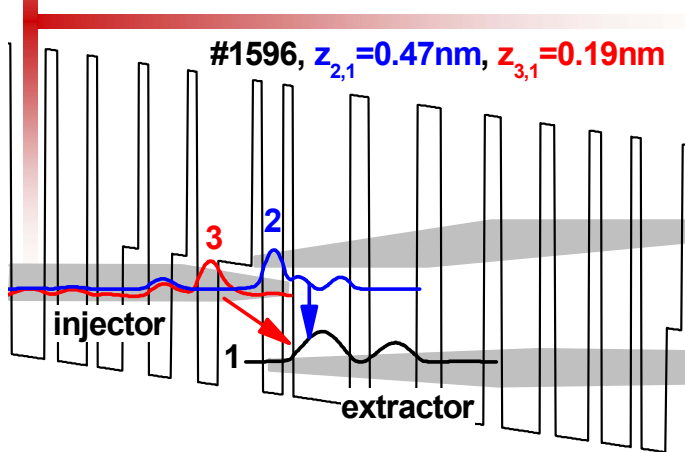
Relaxation from  $\Gamma_2$  directly to  $\Gamma_1$  is 4 times faster than via the X valleys.



(H. Schneider's Thursday Poster)

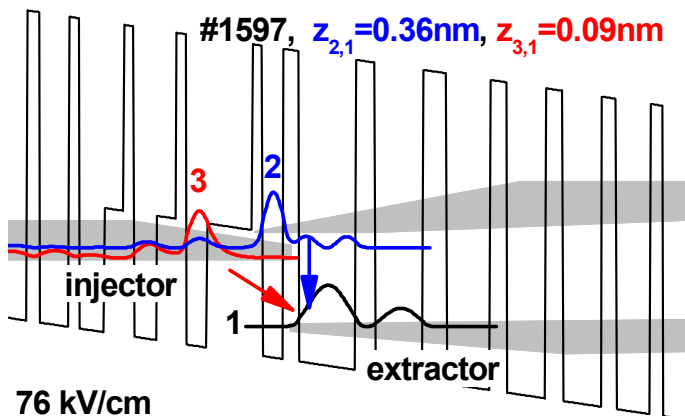


## Strategy #3: Move the upper laser state away from the indirect valleys



“Diagonal” transitions can increase the emission energy through the Stark effect.

Thin injection barrier  $\Rightarrow$  lasing is  $2 \rightarrow 1$  (vertical).



Thick injection barrier  $\Rightarrow$  lasing is  $3 \rightarrow 1$  (diagonal).

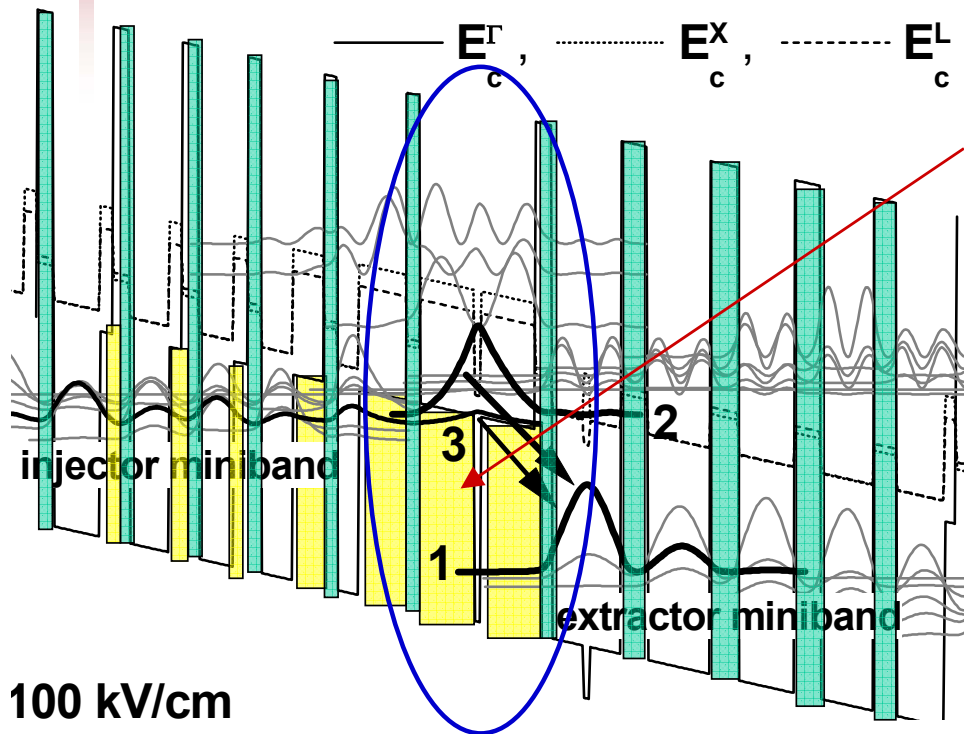
Diagonal upper laser state less well coupled to indirect valleys in QW.

76 kV/cm



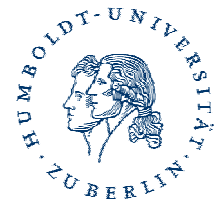


## Strategy #4: Change well material for upper laser state



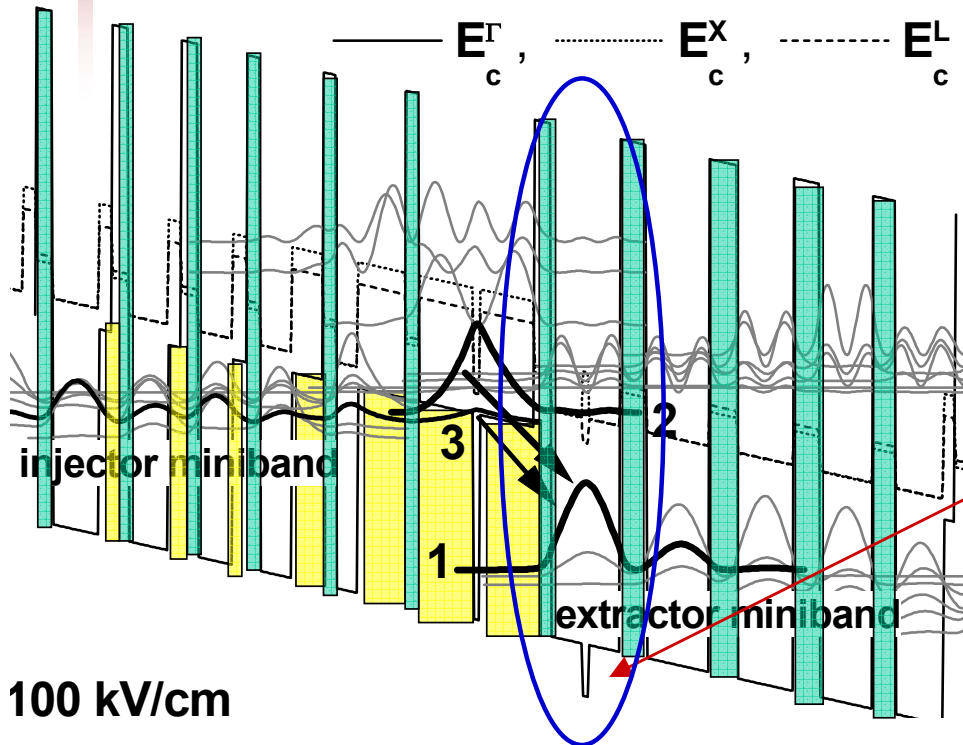
Upper laser states mostly located in AlInAs.

Upper laser states are high in energy and not well coupled to indirect valleys in lower QW.



# Strategy #5: InAs insert in QW with lower laser state

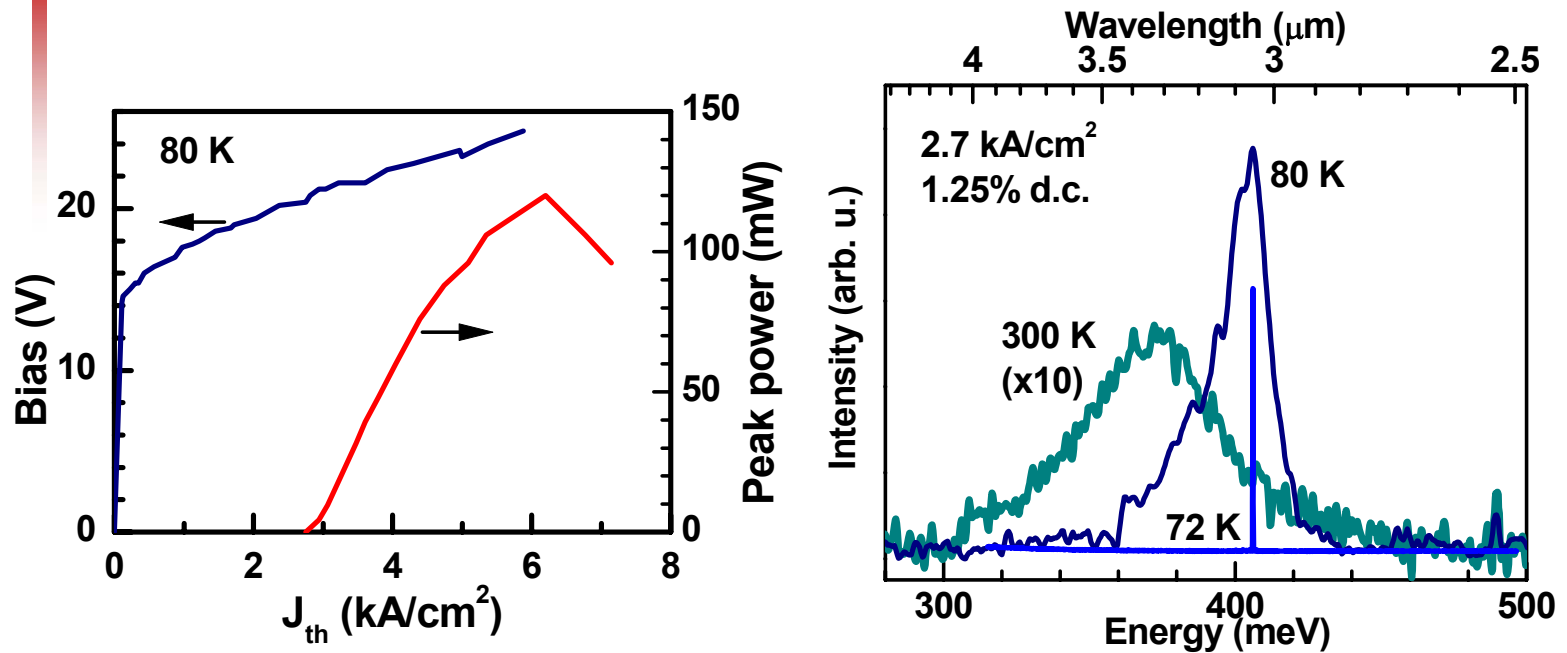
This design emits at 3.05  $\mu\text{m}$ .



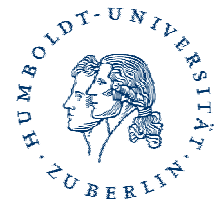
InAs insert lowers lower laser state, increasing the transition energy.

QW with lower laser state contains InAs insert.

The 3.05- $\mu\text{m}$  QCL emits  $>100$  mW power at 80K, but operates only up to 150K.



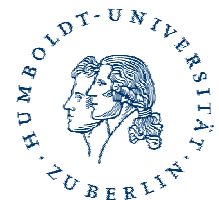
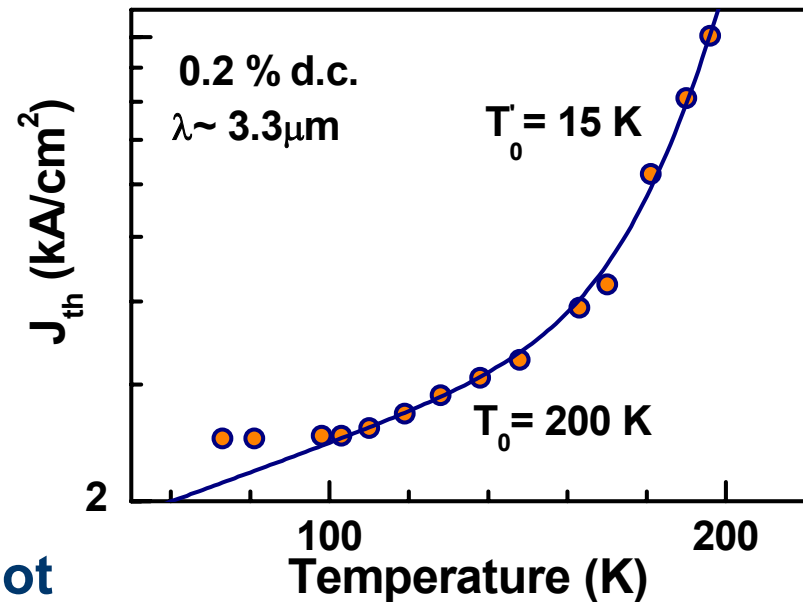
A similar design for 3.3  $\mu\text{m}$  emits up to 600 mW and operates to 200K.



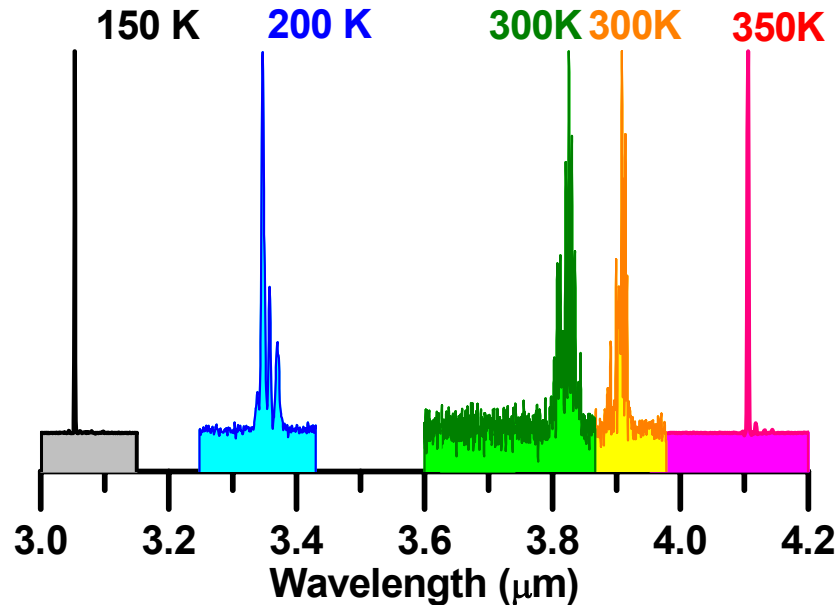
**Short wavelength designs have difficulties at elevated temperatures.**

**Steep increase in  $J_{th}$  beginning at 150K is probably due to scattering into the indirect valleys.**

**The indirect valleys do not prevent lasing, but still have adverse effects.**



These design strategies allow QCL emission over the entire 1<sup>st</sup> atmospheric window.



QCL spectra taken at  $T_{\max}$  for different designs.

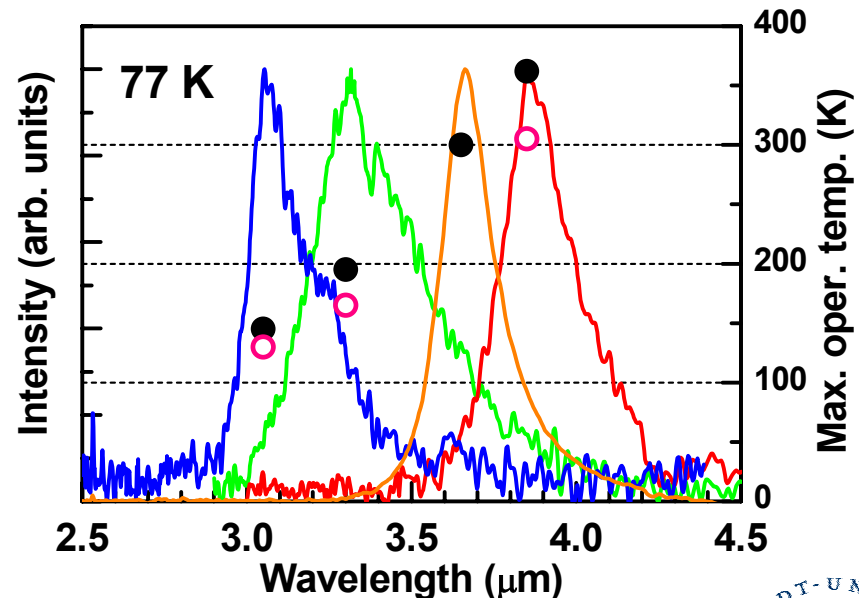




Both the maximum temperature of operation and the onset of low  $T_0$  decrease with emission wavelength.

The trend of steadily decreasing temperature for the onset of low  $T_0$ , despite many changes to the active region, implies that the culprit is the indirect valleys.

Extrapolating these results implies an ultimate limit of about  $2.7 \mu\text{m}$ .



## Summary

- For large enough  $\Delta E_c$ , it is the indirect valleys of the well material that limit emission wave length.
- New design features to avoid emptying upper laser state into InGaAs indirect valleys – the upper laser state can be higher.
- QCLs to 3.6  $\mu\text{m}$ : RT lasing, high efficiencies and  $T_0$
- Record of 3.05  $\mu\text{m}$  recently achieved
- QCLs limited by indirect valleys to about  $<3.0 \mu\text{m}$   
(1<sup>st</sup> atmospheric window covered)

